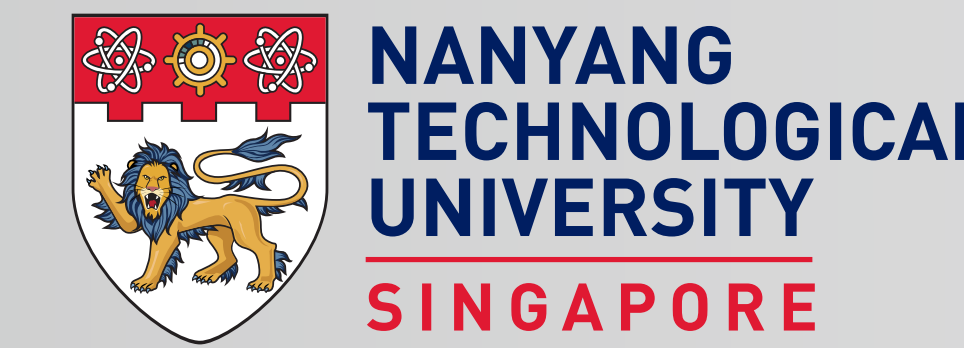# Influence-Based Fair Selection for Sample-Discriminative Backdoor Attacks

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Contributions

➢ **A meaningful observation**. We reveal that the unfair backdoor sample selection leads to significant performance degradation on ASR under a small value of the manipulation strength.

➢ **A novel selection strategy for backdoor attacks**. We propose a novel backdoor attack method based on influence-based fair selection that provides data-efficient influence computation and fair backdoor sample selection.

➢ **Superior performances**. We conduct comprehensive experiments on four benchmarks to validate the superiority.
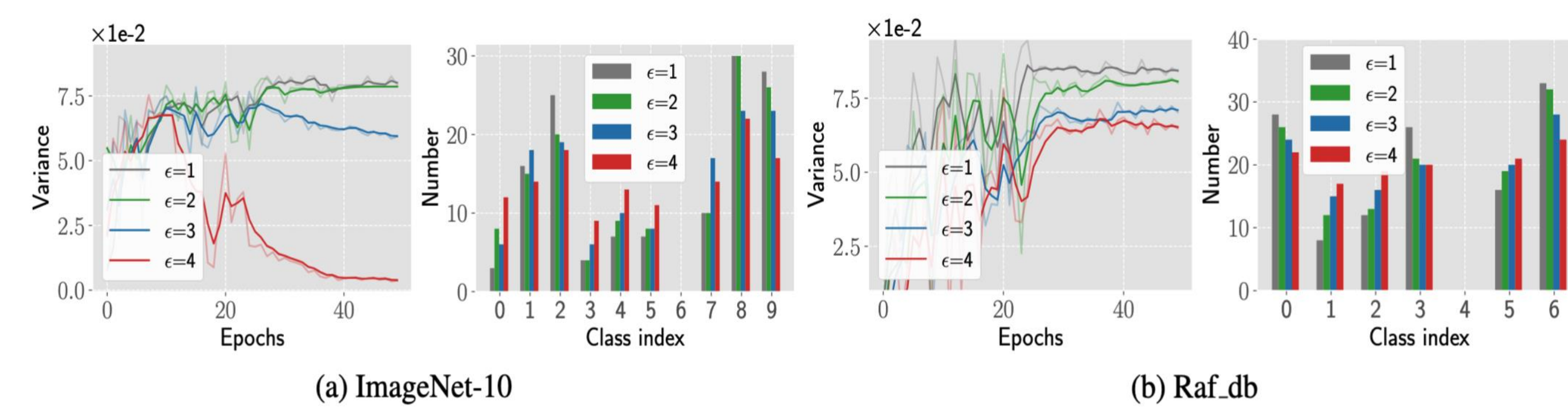
Observation and Motivations

An example of different manipulation strength ϵ in backdoor attack

$\epsilon = 0.15$ $\epsilon = 0.25$ $\epsilon = 0.35$ $\epsilon = 2$ $\epsilon = 3$ $\epsilon = 4$

Blended   Patched

A smaller value of ϵ is preferred since it enhances stealth!

Experimental Observation on variance of class-level ASR

(a) ImageNet-10   (b) Raf_db

As the value of ϵ decreases, the number of selected samples in each category becomes more imbalanced, leading to a greater variance in class-level ASR.

Preliminaries

Influence Functions:

z_i: A training point
z_j: A test point sampled from Q

$$\phi_{ij} = \phi(z_i, z_j \sim Q)$$

$$\triangleq \frac{d\ell_j(\hat{\theta}_\delta)}{d\delta}\bigg|_{\delta=0} = -\nabla_\theta \ell(z_j, \hat{\theta})^\top H_{\hat{\theta}}^{-1} \nabla_\theta \ell(z_i, \hat{\theta})$$
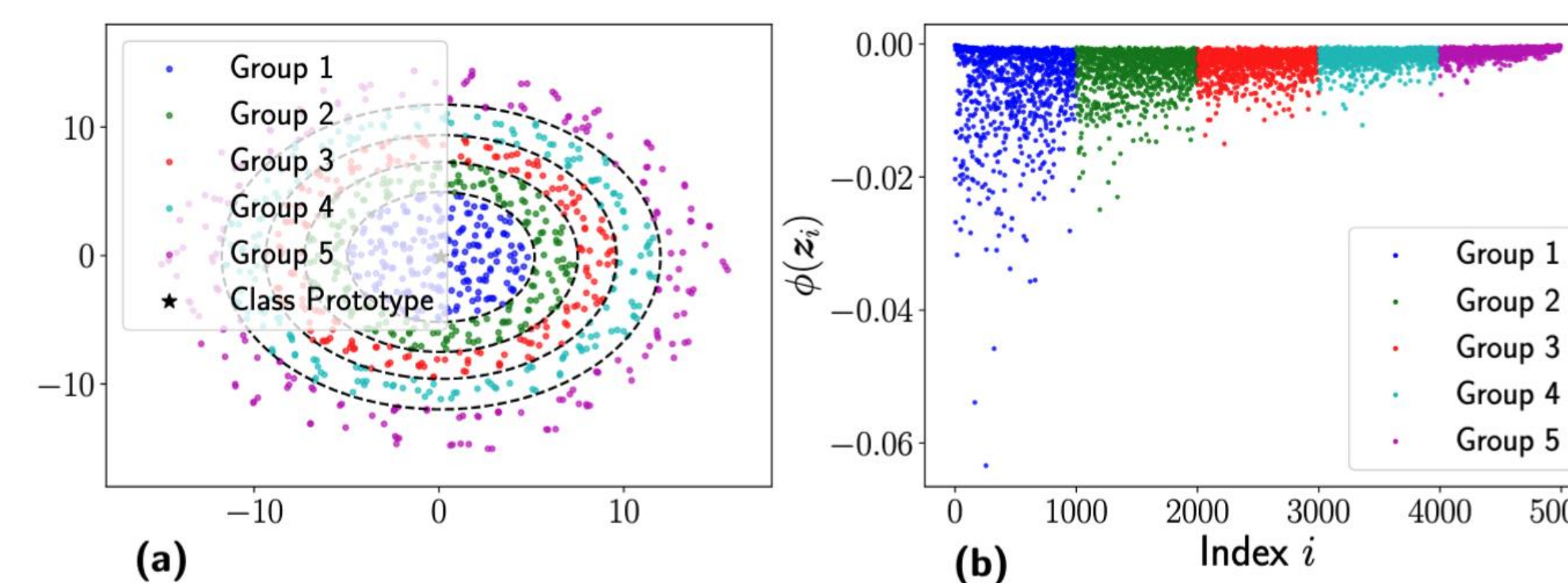
$$H_{\hat{\theta}} = \frac{1}{n}\sum_{i=1}^n \nabla_\theta^2 \ell(z_i, \hat{\theta})$$

Calculating the impact of training samples with a trigger on the backdoored test risk contributes to find the backdoor samples.

A Toy Model

Settings: binary classification task (5000 positive and negative points);
each sample is with 768 dimension;
three-layer fully-connected network;
construct backdoor sample with setting last 20 dimensions to zero;

Computing influence score of backdoor sample on the test risk
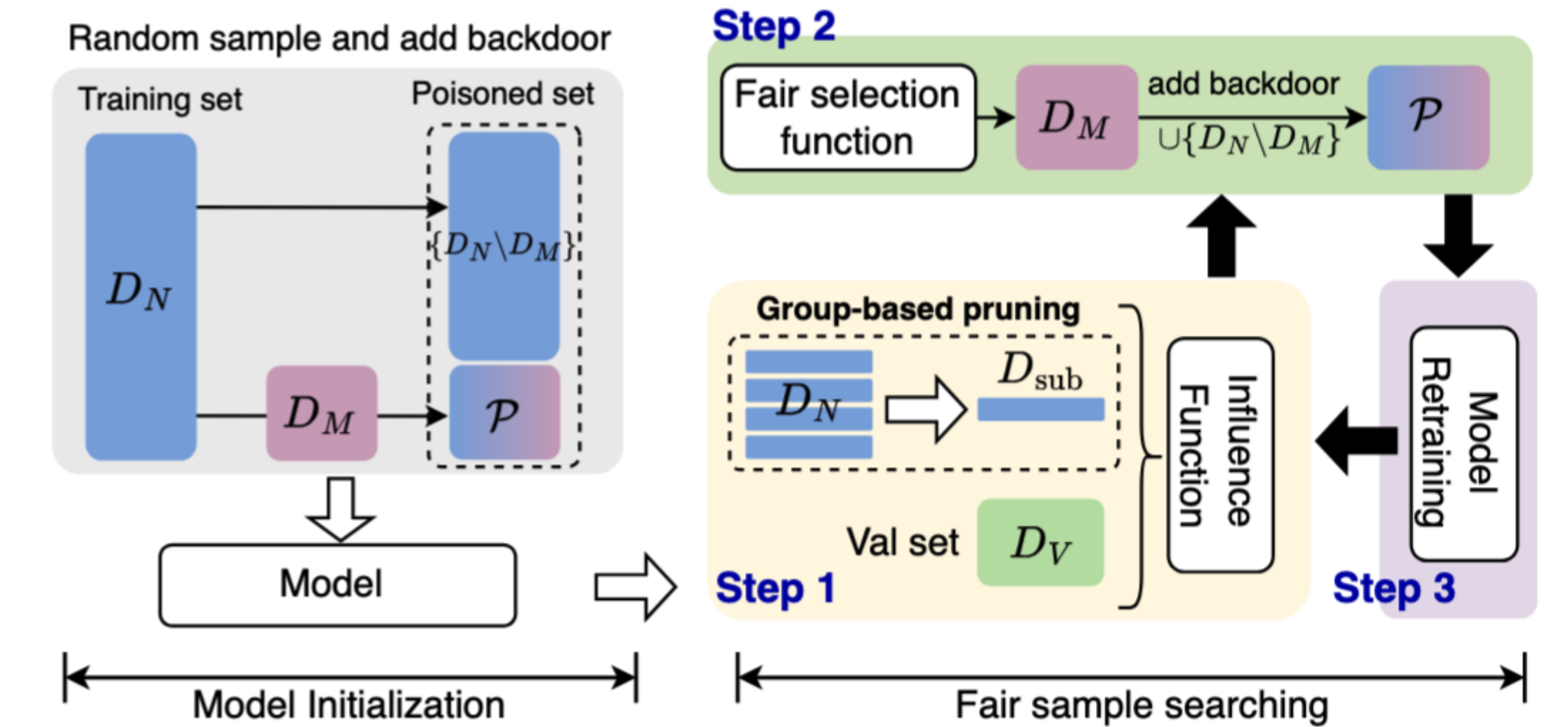

(a)   (b)

Backdooring the sample in *Group 1* (the group closest to the class prototype) probably causes a bigger value of influence, contributing to reduce the backdoored test risk.

Infecting samples closed to class prototype achieves better ASR!

Methodology

Our Framework:
Influence-based Fair poison sample Selection (IFS)

Model Initialization   Fair sample searching

Step1: Data-efficient influence computation

$$\phi_{i,D'_{val}} \approx -\frac{1}{U}\sum_{u=1}^U \nabla_\theta \ell(z'_u, \hat{\theta})^\top H_{\hat{\theta}}^{-1} \nabla_\theta \ell(z_i, \hat{\theta})$$

$$= -\left[\nabla_\theta \frac{1}{U}\sum_{u=1}^U \ell(z'_u, \hat{\theta})\right]^\top H_{\hat{\theta}}^{-1} \nabla_\theta \ell(z_i, \hat{\theta})$$

A subset D'_{val} is calculated for efficient influence computation.

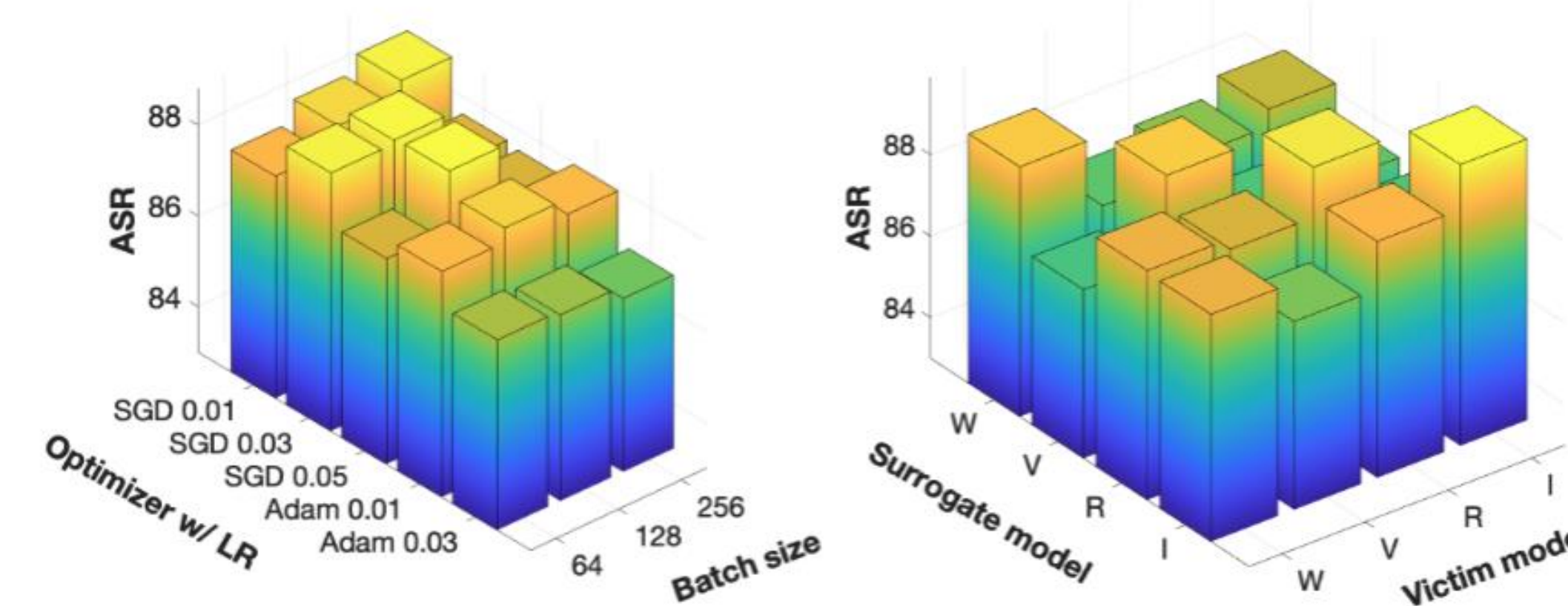**Step2: Influence-based fair sample selection**

$$D_M \leftarrow \{(x_i, y_i)|\phi_i > \tau^c\}_{i \in \|G_i^c\|}, \forall c \in [C]$$

Select same number of backdoor samples across varying classes.

Step3: Model retraining until covergence

Experiments

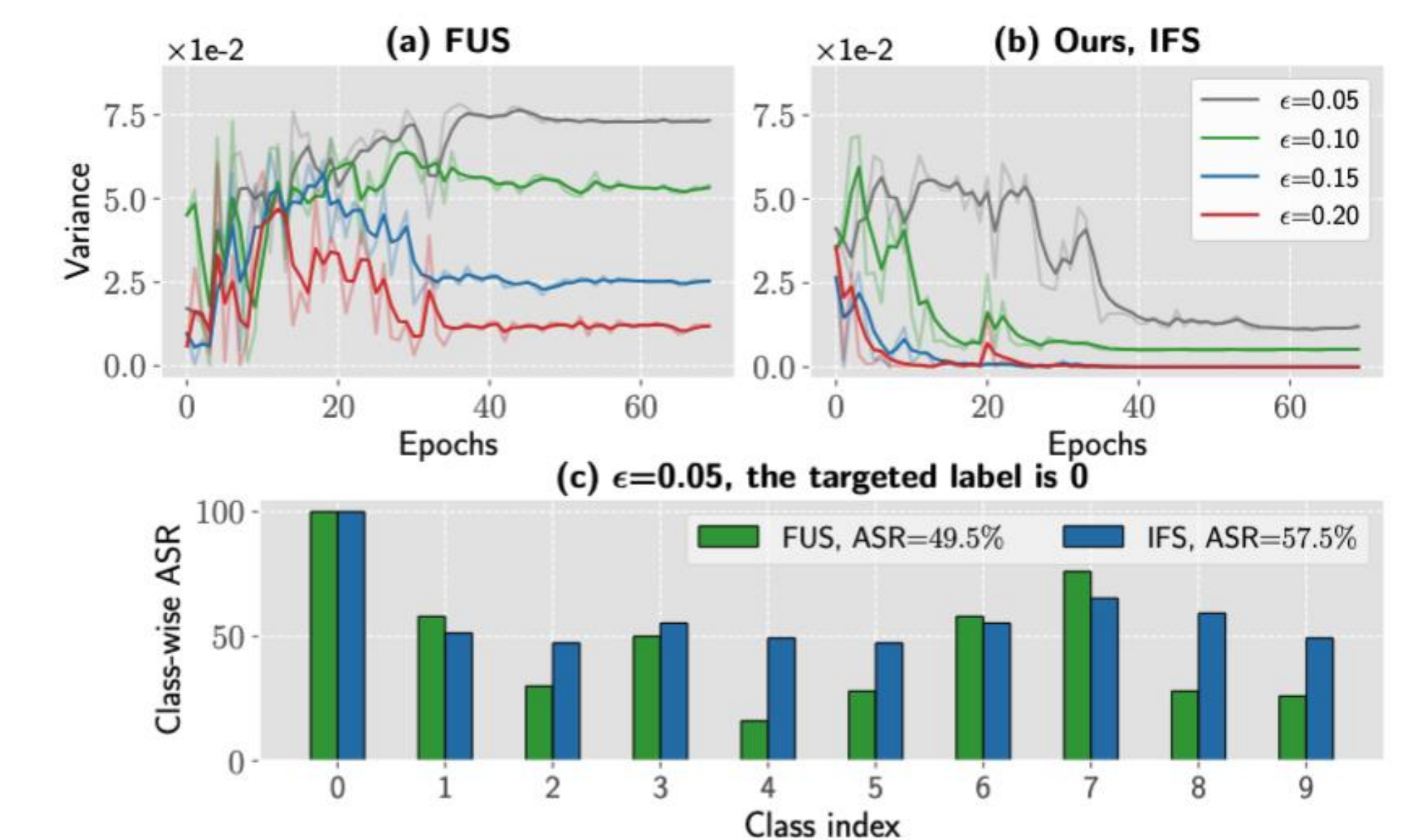### Quantitative Results

CIFAR-10 & patched   ImageNet-10 & patched   Raf_db & patched   ModelNet40 & patched

CIFAR-10 & blended   ImageNet-10 & blended   Raf_db & blended

RC
FUS
RD
HFE
IFS (ours)

Different manipulation strengths ϵ

Our proposed backdoor sample selection strategy is superior.

More Analyses

Black-Box Settings

(a) Training strategies   (b) Models

Great performance on varying black-box settings.

Visualization of ASR Variance

(a) FUS   (b) Ours, IFS

(c) $\epsilon=0.05$, the targeted label is 0

FUS, ASR=49.5%   IFS, ASR=57.5%

Well solve the issue of variance on ASR.

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